## Edgar Filing: PIMCO NEW YORK MUNICIPAL INCOME FUND II - Form 3

#### PIMCO NEW YORK MUNICIPAL INCOME FUND II

Form 3

October 04, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0104 Number:

**OMB APPROVAL** 

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*

MORIN CHRISTOPHER M

(Last) (First) (Middle)

Statement

(Month/Day/Year)

09/22/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

PIMCO NEW YORK MUNICIPAL INCOME FUND II

[PNI]

4. Relationship of Reporting Person(s) to Issuer

Director

X\_ Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O PIMCO. 650 NEWPORT **CENTER DRIVE** 

(Street)

(State)

(Check all applicable)

Assistant Treasurer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person Form filed by More than One

Reporting Person

**NEWPORT** BEACH, CAÂ 92660

1. Title of Security (Instr. 4)

(City)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and 3. Title and Amount of **Expiration Date** (Month/Day/Year)

Securities Underlying **Derivative Security** (Instr. 4)

5. Conversion Ownership or Exercise Form of Derivative Price of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable

**Expiration Title** Date

Amount or Number of Shares

Security: Derivative Direct (D) Security or Indirect

(I) (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MORIN CHRISTOPHER M C/O PIMCO	Â	Â	Assistant Treasurer	Â
650 NEWPORT CENTER DRIVE NEWPORT BEACH, CA 92660				

## **Signatures**

/s/ Joel Reynolds, Attorney-in-Fact for Christopher M.
Morin

10/04/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### **Remarks:**

Exhibit List: Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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