A10 Networks, Inc. Form 3 March 03, 2017

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Natarajan Shiva Kumar

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

02/23/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

A10 Networks, Inc. [ATEN]

(Check all applicable)

Interim CFO

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O A10 NETWORKS. INC., 3 WEST PLUMERIA DRIVE

(Street)

Director \_X\_\_ Officer

10% Owner Other

(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

SAN JOSE, CAÂ 95134

(City) (State) (Zip)

(Instr. 4)

1. Title of Security

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock

 $42,479 \stackrel{(1)}{=}$ 

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion or Exercise

6. Nature of Indirect Ownership Beneficial Ownership Form of (Instr. 5)

Price of Derivative Derivative Security:

#### Edgar Filing: A10 Networks, Inc. - Form 3

Date Expiration Title Exercisable Date

Amount or Security Number of Shares Direct (D) or Indirect (I)

(Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Natarajan Shiva Kumar C/O A10 NETWORKS, INC. 3 WEST PLUMERIA DRIVE SAN JOSE, CAÂ 95134

Â Â Interim CFO Â

**Signatures** 

/s/ Robert D. Cochran, as Attorney-in-Fact 03/03/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 40,924 shares represented by Restricted Stock Units (each, an "RSU") that are subject to vesting, provided that the Reporting

(1) Person is a Service Provider through each vesting date. Each RSU represents a contingent right to receive one share of the Issuer's Common Stock upon settlement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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