## Edgar Filing: SPLUNK INC - Form 4

SPLUNK INC

Form 4	NC											
March 13, 2	017											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										OMB APPROVAL		
	Washington, D.C. 20549								OMB Number:	3235-0287		
Check th if no lon subject to Section Form 4 co Form 5	ger o <b>STATEN</b> 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								January 31, 2005 average rs per 0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type	Responses)											
1. Name and Address of Reporting Person <u>*</u> STEIN LEONARD R			2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer				
			SPLUNK INC [SPLK]					(Check all applicable)				
(Last) (First) (Middle) C/O SPLUNK INC., 270 BRANNAN STREET			3. Date of Earliest Transaction (Month/Day/Year) 03/09/2017					Director 10% Owner Officer (give title Other (specify below) below) SVP, General Counsel & Sec.				
(Street) 4. If Amendmen Filed(Month/Day					-			6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
SAN FRANCISCO, CA 94107 Form filed by More than One Reporting Person									porting			
(City)	(State)	(Zip)	Table	e I - Non-E	Derivative	Securi	ities Acq	uired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
G				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	03/09/2017			А	13,333 (1)	А	\$0	94,782	D			
Common Stock	03/10/2017			F	4,721	D	\$ 60.74	90,061	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	7. Titl Amou Under Securi (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

\*\*Signature of Reporting Person

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
STEIN LEONARD R C/O SPLUNK INC. 270 BRANNAN STREET SAN FRANCISCO, CA 94107			SVP, General Counsel & Sec.				
Signatures							
/s/ Steve Dean, by power of attorney		03/13/2017					

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported securities are represented by restricted stock units ("RSUs"). Twenty-five percent of the RSUs vest on March 10, 2018 and 1/16th of the RSUs shall vest quarterly thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.