**FLUOR CORP** Form 3 March 27, 2017

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Brittain James F.

(Last)

(First) (Middle)

Statement

(Month/Day/Year)

03/17/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

FLUOR CORP [FLR]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O FLUOR

CORPORATION, Â 6700 LAS

**COLINAS BLVD** 

(Street)

10% Owner Director \_X\_\_ Officer

Other (give title below) (specify below) **Group President** 

(Check all applicable)

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

IRVING, TXÂ 75039

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock 13,573.327

Â D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Derivative Security:

1

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	02/28/2021	Common Stock	1,389	\$ 70.76	D	Â
Employee Stock Option (Right to Buy)	(2)	02/27/2022	Common Stock	1,629	\$ 62.5	D	Â
Employee Stock Option (Right to Buy)	(3)	02/25/2023	Common Stock	2,469	\$ 61.45	D	Â
Employee Stock Option (Right to Buy)	(4)	02/21/2024	Common Stock	2,172	\$ 79.19	D	Â
Employee Stock Option (Right to Buy)	(5)	02/23/2025	Common Stock	6,879	\$ 59.05	D	Â
Employee Stock Option (Right to Buy)	(6)	02/23/2026	Common Stock	9,162	\$ 46.07	D	Â
Employee Stock Option (Right to Buy)	(7)	02/23/2027	Common Stock	8,082	\$ 55.35	D	Â
Performance Stock Units	03/06/2018	(8)	Common Stock	4,987	\$ <u>(9)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
. Programme	Director	10% Owner	Officer	Other	
Brittain James F.					
C/O FLUOR CORPORATION	â	â	Group President	Â	
6700 LAS COLINAS BLVD	А	A	A Group Fresident	А	
IRVING, TX 75039					

# **Signatures**

/s/ Eric P. Helm by Power of Attorney 03/27/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vested in three equal annual installments beginning on March 6, 2012.
- (2) The options vested in three equal annual installments beginning on March 6, 2013.
- (3) The options vested in three equal annual installments beginning on March 6, 2014.
- (4) The options vested in three equal annual installments beginning on March 6, 2015.
- (5) The options vest in three equal annual installments which began on March 6, 2016.

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- (6) The options vest in three equal annual installments which began on March 6, 2017.
- (7) The options vest in three equal annual installments beginning on March 6, 2018.
- (8) The Performance Stock Units vest 100% on March 6, 2018.
- (9) Each Performance Stock Unit represents a contingent right to receive one share (or the cash value of one share) of Fluor common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.