Cortes Fernando Form 3 May 21, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

À Cortes Fernando

(Month/Day/Year) 05/14/2018

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Dr Pepper Snapple Group, Inc. [DPS]

(Last) (First)

(Middle)

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

5301 LEGACY DRIVE

(Street)

(State)

(Check all applicable)

6. Individual or Joint/Group

10% Owner Director _X__ Officer Other (give title below) (specify below)

Filing(Check Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One

PLANO, TXÂ 75024

(Zip)

Executive Vice President Reporting Person Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

(City)

2. Amount of Securities Beneficially Owned (Instr. 4)

Ownership Form:

(I) (Instr. 5) 4. Nature of Indirect Beneficial Ownership

Direct (D) or Indirect

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable Date

Title

Amount or Number of Shares

Derivative Security: Security Direct (D) or Indirect

> (I)(Instr. 5)

> > 1

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Restricted Stock Unit	(1)	(1)	Common Stock	2,547	\$ <u>(2)</u>	D	Â
Restricted Stock Unit	(3)	(3)	Common Stock	434	\$ (2)	D	Â
Restricted Stock Unit	(4)	(4)	Common Stock	844	\$ (2)	D	Â
Restricted Stock Unit	(5)	(5)	Common Stock	2,215	\$ (2)	D	Â
Restricted Stock Unit	(6)	(6)	Common Stock	1,049	\$ (2)	D	Â
Restricted Stock Unit	(7)	(7)	Common Stock	2,408	\$ (2)	D	Â
Employee Stock Option (Right to Buy)	(8)	03/02/2026	Common Stock	6,044	\$ 91.98	D	Â
Employee Stock Option (Right to Buy)	(9)	03/02/2027	Common Stock	6,030	\$ 94.62	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting of their rand, reduces	Director	10% Owner	Officer	Other		
Cortes Fernando 5301 LEGACY DRIVE PLANO Â TXÂ 75024	Â	Â	Executive Vice President	Â		

Signatures

James L. Baldwin, attorney in fact 05/21/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares represent restricted stock units granted on February 2, 2015 pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 (1) and vest in one installment five years from the date of grant and will be settled in shares of the Issuer's Common Stock on the vesting date.
- (2) Each restricted stock unit represents a contingent right to receive one share of the Issuer's Common Stock and is granted pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009.
- (3) These shares represent the unvested portion of restricted stock units granted on March 2, 2016 pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vest on March 2, 2019 and will be settled in shares of the Issuer's Common Stock on the vesting date.
- These shares represent the unvested portion of restricted stock units granted on March 2, 2017 pursuant to the Issuer's Omnibus Stock (4) Incentive Plan of 2009 and vest in two equal installments on March 4, 2019 and March 4, 2020, and will be settled in shares of the Issuer's Common Stock on the vesting date.
- (5) These shares represent restricted stock units granted on December 1, 2017 pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vest in three equal installments on December 1, 2018, December 1, 2019 and December 1, 2020, and will be settled in shares of the

Reporting Owners 2

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Issuer's Common Stock on the vesting date.

- These shares represent restricted stock units granted on January 2, 2018 pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and vest in three equal installments on January 2, 2019, January 2, 2020 and January 2, 2021, and will be settled in shares of the Issuer's Common Stock on the vesting date.
- These shares represent restricted stock units granted on March 2, 2018 pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009 and (7) vest in three equal installments on March 4, 2019, March 4, 2020 and March 4, 2021, and will be settled in shares of the Issuer's Common Stock on the vesting date.
- (8) This option represents the unvested and unexercised options granted on March 2, 2016 pursuant to the Issuer's Omnibus Stock Incentive Plan of 2009, 4,030 of these options are fully vested and have not been exercised and the remaining 2,014 shares vest on March 2, 2019.
- This option represents the unvested and unexercised options granted on March 2, 2017 pursuant to the Issuer's Omnibus Stock Incentive (9) Plan of 2009. 2,010 of these options are fully vested and have not been exercised and the remaining 4,020 shares vest in equal installments on March 2, 2019 and March 2, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.