

Natalizia Michael J
Form 4
June 13, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Natalizia Michael J

2. Issuer Name and Ticker or Trading Symbol
AstroNova, Inc. [ALOT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O ASTRONOVA, INC., 600 E GREENWICH AVENUE

3. Date of Earliest Transaction (Month/Day/Year)
06/11/2018

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Vice President and CTO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

W WARWICK, RI 02893

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				Code V	Amount (A) or Price (D)		
Common Stock	06/11/2018		F	343	D \$ 18.15	19,505 ⁽¹⁾	D
Common Stock	06/12/2018		F	2,490	D \$ 18.15	17,015	D
Common Stock	06/13/2018		S	4,000	D \$ 19.1 ⁽²⁾	13,015	D
Common Stock	06/11/2018		M	1,000	A \$ 6.22	14,015	D
Common Stock	06/12/2018		M	1,000	A \$ 7.36	15,015	D

Edgar Filing: Natalizia Michael J - Form 4

Common Stock	06/12/2018	M	1,000	A	\$ 7.95	16,015	D
Common Stock	06/12/2018	M	3,575	A	\$ 8.35	19,590	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Option (Right to Purchase)	\$ 6.22	06/11/2018		M	1,000	03/18/2009 03/18/2019	Common Stock	1,000	
Stock Option (Right to Purchase)	\$ 7.36	06/12/2018		M	1,000	03/15/2010 03/15/2020	Common Stock	1,000	
Stock Option (Right to Purchase)	\$ 7.95	06/12/2018		M	1,000	03/14/2011 03/14/2021	Common Stock	1,000	
Stock Option (Right to Purchase)	\$ 8.35	06/12/2018		M	3,575	03/29/2012 03/29/2022	Common Stock	3,575	

Reporting Owners

Reporting Owner Name / Address

Relationships

Edgar Filing: Natalizia Michael J - Form 4

Director 10% Owner Officer

Other

Natalizia Michael J
C/O ASTRONOVA, INC.
600 E GREENWICH AVENUE
W WARWICK, RI 02893

Vice President and CTO

Signatures

Margaret V. Boericke, by power of
attorney

06/13/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This amount includes shares purchased through the AstroNova, Inc. Employee Stock Purchase Plan throughout 2018.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$18.10 to \$19.15. The reporting person undertakes to provide to AstroNova, Inc., any security holder of AstroNova, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.