Edgar Filing: WOODS RANDALL E - Form 4

| WOODS RAI | NDALL E | | | | | | | | | | | |
|--|--------------------|------------------------------------|-------------------------|---|--|----------|---|---|------------------------|-------------------------|--|--|
| Form 4 | | | | | | | | | | | | |
| June 15, 2018 | 3 | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | | OMB APPROVAL | | | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | | | |
| Check this if no longe | ar . | | | | | | | | | January 31, | | |
| subject to | F CHAN | CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | Expires: 2005 Estimated average | | | | |
| Section 16. | | | | SECUR | ITIES | | | | burden hours per | | | |
| Form 4 or Form 5 | | | C | $(\cdot) = f + 1$ | C | F | . 1 | | response | response 0.5 | | |
| obligation | ~ ^ | | | | | | - | ge Act of 1934, of 1935 or Sectio | 'n | | | |
| may contin | nue. | | of the Inv | • | • | - · | | |)11 | | | |
| See Instruction 1(b). | ction | 50(11) | of the m | vestment · | compun _. | y 1101 | . 01 17 | 10 | | | | |
| | | | | | | | | | | | | |
| (Print or Type R | esponses) | | | | | | | | | | | |
| 1 37 1 4 | | D * | | | | | | 5 5 1 | | | | |
| | dress of Reporting | g Person _ | | r Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| WOODS RANDALL E Symbo | | | | mbol RENA PHARMACEUTICALS | | | | | | | | |
| | | | INC [AF | | IACLUI | ICAI | 20 | (Check all applicable) | | | | |
| (Last) | (First) | (Middle) | - | Earliest Tra | ngation | | | _X_ Director | 100 | 6 Owner | | |
| | | | (Month/Da | | ansaction | | | Officer (give titleOther (specify | | | | |
| | | | 06/13/20 | - | | | | below) below) | | | | |
| | | | 4. If Amer | If Amendment, Date Original ed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| | | | | | | | | Applicable Line) | | | | |
| | | | | | | | X Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| SAN DIEGC |), CA 92121 | | | | | | | Person | More than One K | eporting | | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | Securi | ties Ac | quired, Disposed o | of, or Beneficial | lly Owned | | |
| 1.Title of | 2. Transaction Da | ate 2A. Dee | emed | 3. 4. Securities | | | | 5. Amount of | 6. Ownership | 7. Nature of | | |
| Security | (Month/Day/Year | | on Date, if | TransactionAcquired (A) or | | | | Securities Beneficially | Form: Direct | Indirect | | |
| (Instr. 3) | | any (Month) | any (Month/Day/Year) | | CodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5) | | | | (D) or Indirect (I) | Beneficial Ownership | | |
| | | (ivionini | Duji i cui) | | | | Owned Following | (Instr. 4) | (Instr. 4) | | | |
| | | | | | | (A) | | Reported | | | | |
| | | | | | | or | | Transaction(s) (Instr. 3 and 4) | | | | |
| Common | | | | Code V | Amount 3,050 | (D) | Price | (| | | | |
| Stock | 06/13/2018 | | | А | 3,050 (1) | А | \$0 | 11,576 | D | | | |
| Stock | | | | | <u></u> | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | tive Expiration Date s (Month/Day/Year) of | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. H Dei Sec (Ini |
|---|---|---|---|--|---|-----|--|--------------------|---|--|----------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Option (right to buy) | \$ 49.17 | 06/13/2018 | | А | 5,000 | | (2) | 06/13/2025 | Common Stock | 5,000 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| WOODS RANDALL E 6154 NANCY RIDGE DRIVE SAN DIEGO, CA 92121 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/ Steven W. Spector, as Attorney-in-Fact | 06/15/2018 | | | | | | |
| **Signature of Reporting Person | | Da | te | | | | |
| | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The amount represents restricted stock units that vest in full on the earliest of June 13, 2019, or the date of Arena's next annual meeting of stockholders. The shares underlying the restricted stock units will be issued upon vesting of the restricted stock units.
- (2) The options vest in 12 equal monthly installments (except as otherwise necessary to avoid vesting of a fractional share) over one year beginning on July 13, 2018, and are exercisable once vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.