Edgar Filing: Murray Neil - Form 4

Murray Neil Form 4	l									
August 24, 2	2018									
FORM	14							OMB AP	PROVAL	
	UNITED	STATES SI	ECURITIES Washington			NGE CO	OMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations Section 17(a) of the			SECU	RITIES the Securi	ties E	Act of 1934,	Expires: January 31 2009 Estimated average burden hours per response 0.9			
may con See Instr 1(b).	tinue. Section 170		the Investmen	•	· ·	•	1935 or Section			
(Print or Type	Responses)									
							5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Date of Earliest	_			(Check	all applicable)	
C/O MIMECAST NORTH AMERICA, INC., 191 SPRING STREET			(Month/Day/Year)				_X Director 10% Owner _X Officer (give title Other (specify below) below) Chief Technology Officer			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
LEXINGTO	ON, MA 02421						Person	sie than one req	Joiting	
(City)	(State)	(Zip)	Table I - Non	-Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		on Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) Day/Year) (Instr. 8) Code (A) Or Code (A) Or Code (A) Code (A)		Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Ordinary			Code V		(D)	Price \$				
Shares	08/22/2018		S <u>(1)</u>	25,000	D	38.894 (2)	4 2,493,200	D		
Restricted Share Units (3)							3,000 <u>(4)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: Murray Neil - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	Amou Unde Secur	tle and unt of erlying rities r. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
Repor	rting O	wners		Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
R	Leporting Own	ner Name / Address	Director	10% Owi		ationships cer		C	Other		

Murray Neil C/O MIMECAST NO 191 SPRING STREE LEXINGTON, MA 0		Х	Chief Technology Officer
Signatures			
/s/ Robert P. Nault	08/24/2018		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 12, 2018.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$38.53 - \$39.205, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of

(2) \$33.35 + \$39.205, inclusive. The Reporting Ferson undertakes to provide to the issuer, any security holder of the issuer, of the start of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

(3) Each restricted share unit represents a contingent right to receive one share of the Issuer's ordinary shares.

These restricted share units were granted on April 2, 2018. Twenty-five percent (25%) of the units vest on April 2, 2019 and the
(4) remainder of the units vest on an annual basis over the next three (3) years, provided that the Reporting Person remains an employee of the Issuer on such vesting date.

Remarks:

Exhibit 24 Power of Attorney (incorporated by reference to Power of Attorney filed as Exhibit 24 to Form 3 filed by the Report

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.