Klatsky David L Form 4 January 02, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Klatsky David L

> (Last) (First) (Middle)

C/O PREMIER, INC., 13034 **BALLANTYNE CORPORATE PLACE** 

CHARLOTTE, NC 28277

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

Premier, Inc. [PINC]

(Month/Day/Year)

12/31/2018

3. Date of Earliest Transaction

Symbol

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

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3235-0287

January 31,

2005

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response...

Director 10% Owner X\_ Officer (give title Other (specify below) General Counsel

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquir<br>Transaction(A) or Disposed of<br>Code (Instr. 3, 4 and 5)<br>(Instr. 8) |        |                  | of (D)             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--------------------------------------|---|---|--|--------|------------------|--------------------|--|---|---|
| Cl                                   |   |   | Code V   | Amount | (A)<br>or<br>(D) | Price              | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   | (Instr. 4)                                    |   |
| Class A<br>Common<br>Stock           | 12/31/2018                              |   | M(1)   | 4,193  | A                | \$ 32.9            | 18,132   | D   |   |
| Class A<br>Common<br>Stock           | 12/31/2018                              |   | M(1)   | 7,855  | A                | \$<br>31.65        | 25,987   | D   |   |
| Class A<br>Common<br>Stock           | 12/31/2018                              |   | S <u>(1)</u>   | 11,948 | D                | \$<br>36.81<br>(2) | 14,039   | D   |   |
| Class A                              | 12/31/2018                              |   | S(1)   | 100    | D                | \$                 | 13,939   | D   |   |

Common 37.19 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number omf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer<br>Expiration D<br>(Month/Day) | ate                | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--|--|---|--------------------|---|--|
|   |   |                                      |   | Code V                                 | (A) (D)  | Date<br>Exercisable                         | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Option (right to buy)                               | \$ 32.9   | 12/31/2018                           |   | M <u>(1)</u>                           | 4,193  | (3)   | 08/25/2027         | Class A<br>Common<br>Stock                                    | 4,193                                  |
| Option (right to buy)                               | \$ 31.65  | 12/31/2018                           |   | M <u>(1)</u>                           | 7,855  | <u>(4)</u>                                  | 08/31/2026         | Class A<br>Common<br>Stock                                    | 7,855                                  |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Director 10% Owner Officer Other

Klatsky David L C/O PREMIER, INC. 13034 BALLANTYNE CORPORATE PLACE CHARLOTTE, NC 28277

General Counsel

**Signatures** 

/s/ Andrew A. Gerber, 01/02/2019 Attorney-in-Fact

\*\*Signature of Reporting Person Date

2 Reporting Owners

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 30, 2018.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$36.19 to \$37.14, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the
- Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (3) The right to exercise one-third of the options on each of August 24, 2018, 2019 and 2020.
- (4) The right to exercise one-third of the options on each of August 30, 2017, 2018 and 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.