Edgar Filing: Amrhein Christine B. - Form 4

Amrhein Ch Form 4	ristine B.										
August 10, 2	2012										
								OMB AF	OMB APPROVAL		
	UNITED	STATES SE	TES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					OMB Number:	3235-0287		
Check th if no long	aar		HANGEGI			LOW		Expires:	January 31, 2005		
subject to Section 1 Form 4 c	6. STATEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSH SECURITIES							iverage rs per 0.5		
Form 5 obligatio may com <i>See</i> Instr 1(b).	tinue. Section 17(Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section									
(Print or Type]	Responses)										
Amrhein Christine B. Symb			2. Issuer Name and Ticker or Trading ymbol ADA-ES INC [ADES]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (I		3. Date of Earliest Transaction				(Check all applicable)				
	DGELINE BLVD , C/O ADA-ES, II	., (M 08	onth/Day/Yea /08/2012				Director X Officer (give below) VP and 0		Owner er (specify nsel		
			If Amendment ed(Month/Day/	ndment, Date Original hth/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
HIGHLAN	DS RANCH, CO	80129					Form filed by M Person				
(City)	(State)	(Zip)	Table I - No	n-Derivativo	Secu	rities Acq	uired, Disposed of	, or Beneficial	ly Owned		
(Instr. 3) any		Execution Da	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			ed of (D)	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common			Code	V Amoun		Price \$	(Instr. 3 and 4)				
Stock	08/08/2012		А	114 <u>(1)</u>	А	21.94 (2)	12,901 <u>(3)</u>	Ι	By Spouse		
Common Stock							2,666 (4)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
reporting of the Fullio Francess	Director	10% Owner	Officer	Other		
Amrhein Christine B. 9135 S. RIDGELINE BLVD., SUITE 200 C/O ADA-ES, INC. HIGHLANDS RANCH, CO 80129			VP and Corporate Counsel			

Signatures

Christine B. Amrhein **Signature of Date

<u>**</u>Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued directly to Mr. Amrhein's qualified pension plan.
- (2) Price equals the fair market value of the shares on the date of authorization.
- (3) Of the amount shown, 4,298 shares are held in the qualified pension plan account of Mr. Amrhein and 1,123 shares were issued pursuant to a program under the Company's Equity Incentive Plan, are not fully vested and are subject to certain repurchase rights.
- (4) Of the amount shown, 1,186 shares were issued to Ms. Amrhein pursuant to a program under the Company's Equity Incentive Plan, which are not fully vested and are subject to certain repurchase rights.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.