General Finance CORP Form 4 June 13, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* BARRANTES CHARLES E

2. Issuer Name and Ticker or Trading Symbol

General Finance CORP [GFN]

Issuer

(Last)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

Director

10% Owner

39 EAST UNION STREET

(Month/Day/Year)

X\_ Officer (give title below)

Other (specify

06/13/2013

below) Chief Financial Officer

6. Individual or Joint/Group Filing(Check

5. Relationship of Reporting Person(s) to

(Check all applicable)

(Street) 4. If Amendment, Date Original

(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Filed(Month/Day/Year)

Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PASADENA, CA 91103

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

(State)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

SEC 1474

(9-02)

(A) or

Α

Price

\$4

Transaction(s)

(Instr. 3 and 4)

Common Stock

(Instr. 3)

(City)

06/13/2013

Code V Amount (D)

4,750

X

47,750

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: General Finance CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities Acquired (Instr. 8) (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo Nun Shar
Stock Option (Right to Buy)	\$ 7.3	09/10/2006		A	65,000 (1)		09/10/2007	09/10/2016	Common Stock	65
Stock Option (Right to Buy)	\$ 7.3	09/10/2006		A	160,000 (1)		09/10/2007	09/10/2016	Common Stock	160
Stock Option (Right to Buy)	\$ 1.28	01/26/2010		A	20,000		06/30/2011	01/26/2020	Common Stock	20
Stock Option (Right to Buy)	\$ 1.06	09/15/2010		A	30,000		06/30/2014	09/15/2020	Common Stock	30
Stock Option (Right to Buy)	\$ 3	06/23/2011		A	30,000 (4)		09/30/2014	06/23/2021	Common Stock	30
Stock Option (Right to Buy)	\$ 3.15	06/07/2012		A	30,000		09/30/2015	06/07/2022	Common Stock	30
Stock Option (Right to Buy)	\$ 4.43	06/07/2013		A	20,000 (6)		06/07/2014	06/07/2023	Common Stock	20
Warrants	\$ 4	06/13/2013		X		9,500 (7)	06/25/2010	06/25/2013	Common Stock	4,

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BARRANTES CHARLES E 39 EAST UNION STREET			Chief Financial Officer				

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PASADENA, CA 91103

## **Signatures**

Christopher A Wilson, attorney-in-fact for Charles E Barrantes

06/13/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock option vests in three equal annual installments beginning September 10, 2007.
  - Stock option vests on the following date if (a)General Finance Corporation, without consolidation of Pac-Van and Royal Wolf, incurs no more than \$2.288 million of expenses for the fiscal year ended June 30, 2012, and (b) if General Finance Corporation and its subsidiaries
- (2) remain throughout fiscal year 2010 in compliance with the covenants governing all of their indebtedness: the first anniversary of the date that the Audit Committee approves the consolidated financial statements of General Finance Corporation for the fiscal year ended June 30, 2010.
- (3) See attached document "rider.txt" for explanation of Footnote 3.
- (4) See attached document "rider2.txt" for explanation of Footnote 4.
- (5) See attached document "rider3.txt" for explanation of Footnote 5.
- Stock option vests in three equal annual installments on each of the first three anniversaries of the grant date. Vesting of the award will
- (6) cease if employee ceases to be employed by the Company or its affiliate on or prior to any of the three anniversary dates (subject to any employment agreement between employee and the Company).
- (7) These warrants were issued as a component of Units that were issued as of June 25, 2010 pursuant to the rights offering of General Finance Corporation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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