

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

Small Cap Premium & Dividend Income Fund, Inc.
Form NSAR-B
March 02, 2009

PAGE 1
000 B000000 12/31/2008
000 C000000 0001322979
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 SMALL CAP PREMIUM & DIVIDEND INCOME FUND INC.
001 B000000 811-21746
001 C000000 8774494742
002 A000000 P.O. BOX 9011
002 B000000 PRINCETON
002 C000000 NJ
002 D010000 08543
002 D020000 9011
003 000000 N
004 000000 N
005 000000 N
006 000000 N
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007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 IQ INVESTMENT ADVISORS LLC
008 B000001 A
008 C000001 801-63151
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10080
008 A000002 BLACKROCK INVESTMENT MANAGEMENT, LLC
008 B000002 S
008 C000002 801-56972
008 D010002 PLAINSBORO
008 D020002 NJ
008 D030002 08536
010 A000001 PRINCETON ADMINISTRATORS, LLC
010 C010001 PRINCETON
010 C020001 NJ
010 C030001 08543
010 C040001 9095
012 A000001 BNY MELLON SHAREOWNER SERVICES
PAGE 2
012 B000001 85-05006
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10286
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 PRINCETON

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013 B020001 NJ
013 B030001 08540
014 A000001 BB & T AM DISTRIBUTORS, INC.
014 B000001 8-52396
014 A000002 BLACKROCK CAPITAL MARKETS, LLC
014 B000002 8-67827
014 A000003 BLACKROCK DISTRIBUTORS, INC.
014 B000003 8-048775
014 A000004 BLACKROCK INVESTMENTS, INC.
014 B000004 8-048436
014 A000005 HARRIS WILLIAMS LLC
014 B000005 8-53380
014 A000006 MGI FUNDS DISTRIBUTOR, INC.
014 B000006 8-046960
014 A000007 MLPF&S AND OTHER ML BROKER/DEALER AFFILIATES
014 B000007 8-7221
014 A000008 NORTHERN FUNDS DISTRIBUTORS, LLC
014 B000008 8-05242
014 A000009 PNC CAPITAL MARKETS, INC.
014 B000009 8-32493
014 A000010 PNC INVESTMENTS LLC
014 B000010 8-066195
014 A000011 PFPC DISTRIBUTORS, INC.
014 B000011 8-045467
014 A000012 DSP MERRILL LYNCH LIMITED
014 B000012 8-0000000
014 A000013 FAM DISTRIBUTORS, INC.
014 B000013 8-14663
014 A000014 FIRST REPUBLIC SECURITIES COMPANY, LLC
014 B000014 8-52973
014 A000015 GPC SECURITIES, INC.
014 B000015 8-30108
014 A000016 BERNDALD SECURITIES LIMITED
014 B000016 8-0000000
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02101
015 E010001 X
015 A000002 THE BANK OF NEW YORK MELLON
015 B000002 S
015 C010002 NEW YORK
015 C020002 NY
015 C030002 10286
PAGE 3
015 E010002 X
015 A000003 J.P. MORGAN CHASE BANK
015 B000003 S
015 C010003 NEW YORK
015 C020003 NY
015 C030003 10017
015 E010003 X
018 000000 Y
019 A000000 Y
019 B000000 10
019 C000000 IQINVADVIS
020 A000001 CITIGROUP GLOBAL MARKETS, INC.
020 B000001 11-2418191
020 C000001 35
020 A000002 DEUTSCHE BANK SECURITIES INC.
020 B000002 13-2730828

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020 C000002 28
020 A000003 UBS SECURITIES LLC
020 B000003 13-3873456
020 C000003 21
020 A000004 BLOOMBERG TRADEBOOK LLC
020 C000004 10
020 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
020 B000005 13-5674085
020 C000005 8
020 A000006 MORGAN STANLEY & CO. INCORPORATED
020 B000006 13-2655998
020 C000006 3
020 A000007 LEHMAN BROTHERS INC.
020 B000007 13-2518466
020 C000007 3
020 A000008 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000008 13-5659485
020 C000008 1
020 A000009 WEEDEN & CO. L.P.
020 B000009 13-3664318
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020 B000010 13-5108880
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022 A000003 CITIGROUP GLOBAL MARKETS, INC.
022 B000003 11-2418191
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PAGE 4
022 D000003 26054
022 A000004 NYC POLICE DEPARTMENT PENSION FUND
022 B000004 13-6357165
022 C000004 979
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022 A000005 J.P. MORGAN SECURITIES INC.
022 B000005 13-3224016
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022 A000006 GOLDMAN, SACHS & CO.
022 B000006 13-5108880
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022 A000007 CREDIT SUISSE SECURITIES (USA) L.L.C.
022 B000007 13-5659485
022 C000007 755
022 D000007 762
022 A000008 DEUTSCHE BANK SECURITIES INC.
022 B000008 13-2730828
022 C000008 617
022 D000008 598
022 A000009 NYC BOARD OF EDUCATION RETIREMENT SYSTEM
022 C000009 857
022 D000009 232
022 A000010 NYC FIRE DEPARTMENT PENSION FUND
022 C000010 835

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| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | N | |

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070 M020000 N
 070 N010000 Y
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 PAGE 7
 070 P010000 Y
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 074 E000000 0
 074 F000000 124289
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| 074 | I000000 | 181 |
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| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | DONALD C. BURKE | |
| TITLE | ASSISTANT TREASURER | |

