

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

Small Cap Premium & Dividend Income Fund, Inc.
Form NSAR-B
March 02, 2009

PAGE 1
000 B000000 12/31/2008
000 C000000 0001322979
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 SMALL CAP PREMIUM & DIVIDEND INCOME FUND INC.
001 B000000 811-21746
001 C000000 8774494742
002 A000000 P.O. BOX 9011
002 B000000 PRINCETON
002 C000000 NJ
002 D010000 08543
002 D020000 9011
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 IQ INVESTMENT ADVISORS LLC
008 B000001 A
008 C000001 801-63151
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10080
008 A000002 BLACKROCK INVESTMENT MANAGEMENT, LLC
008 B000002 S
008 C000002 801-56972
008 D010002 PLAINSBORO
008 D020002 NJ
008 D030002 08536
010 A000001 PRINCETON ADMINISTRATORS, LLC
010 C010001 PRINCETON
010 C020001 NJ
010 C030001 08543
010 C040001 9095
012 A000001 BNY MELLON SHAREOWNER SERVICES
PAGE 2
012 B000001 85-05006
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10286
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 PRINCETON

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

013 B020001 NJ
013 B030001 08540
014 A000001 BB & T AM DISTRIBUTORS, INC.
014 B000001 8-52396
014 A000002 BLACKROCK CAPITAL MARKETS, LLC
014 B000002 8-67827
014 A000003 BLACKROCK DISTRIBUTORS, INC.
014 B000003 8-048775
014 A000004 BLACKROCK INVESTMENTS, INC.
014 B000004 8-048436
014 A000005 HARRIS WILLIAMS LLC
014 B000005 8-53380
014 A000006 MGI FUNDS DISTRIBUTOR, INC.
014 B000006 8-046960
014 A000007 MLPF&S AND OTHER ML BROKER/DEALER AFFILIATES
014 B000007 8-7221
014 A000008 NORTHERN FUNDS DISTRIBUTORS, LLC
014 B000008 8-05242
014 A000009 PNC CAPITAL MARKETS, INC.
014 B000009 8-32493
014 A000010 PNC INVESTMENTS LLC
014 B000010 8-066195
014 A000011 PFPC DISTRIBUTORS, INC.
014 B000011 8-045467
014 A000012 DSP MERRILL LYNCH LIMITED
014 B000012 8-0000000
014 A000013 FAM DISTRIBUTORS, INC.
014 B000013 8-14663
014 A000014 FIRST REPUBLIC SECURITIES COMPANY, LLC
014 B000014 8-52973
014 A000015 GPC SECURITIES, INC.
014 B000015 8-30108
014 A000016 BERNDALD SECURITIES LIMITED
014 B000016 8-0000000
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02101
015 E010001 X
015 A000002 THE BANK OF NEW YORK MELLON
015 B000002 S
015 C010002 NEW YORK
015 C020002 NY
015 C030002 10286
PAGE 3
015 E010002 X
015 A000003 J.P. MORGAN CHASE BANK
015 B000003 S
015 C010003 NEW YORK
015 C020003 NY
015 C030003 10017
015 E010003 X
018 000000 Y
019 A000000 Y
019 B000000 10
019 C000000 IQINVADVIS
020 A000001 CITIGROUP GLOBAL MARKETS, INC.
020 B000001 11-2418191
020 C000001 35
020 A000002 DEUTSCHE BANK SECURITIES INC.
020 B000002 13-2730828

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

020 C000002 28
 020 A000003 UBS SECURITIES LLC
 020 B000003 13-3873456
 020 C000003 21
 020 A000004 BLOOMBERG TRADEBOOK LLC
 020 C000004 10
 020 A000005 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
 020 B000005 13-5674085
 020 C000005 8
 020 A000006 MORGAN STANLEY & CO. INCORPORATED
 020 B000006 13-2655998
 020 C000006 3
 020 A000007 LEHMAN BROTHERS INC.
 020 B000007 13-2518466
 020 C000007 3
 020 A000008 CREDIT SUISSE SECURITIES (USA) L.L.C.
 020 B000008 13-5659485
 020 C000008 1
 020 A000009 WEEDEN & CO. L.P.
 020 B000009 13-3664318
 020 C000009 1
 020 A000010 GOLDMAN, SACHS & CO.
 020 B000010 13-5108880
 020 C000010 1
 021 000000 111
 022 A000001 BROWN BROTHERS HARRIMAN & CO.
 022 B000001 13-4973745
 022 C000001 6453407
 022 D000001 0
 022 A000002 STATE STREET GLOBAL MARKETS, LLC
 022 C000002 1194866
 022 D000002 0
 022 A000003 CITIGROUP GLOBAL MARKETS, INC.
 022 B000003 11-2418191
 022 C000003 23995
 PAGE 4
 022 D000003 26054
 022 A000004 NYC POLICE DEPARTMENT PENSION FUND
 022 B000004 13-6357165
 022 C000004 979
 022 D000004 1708
 022 A000005 J.P. MORGAN SECURITIES INC.
 022 B000005 13-3224016
 022 C000005 1341
 022 D000005 975
 022 A000006 GOLDMAN, SACHS & CO.
 022 B000006 13-5108880
 022 C000006 1013
 022 D000006 981
 022 A000007 CREDIT SUISSE SECURITIES (USA) L.L.C.
 022 B000007 13-5659485
 022 C000007 755
 022 D000007 762
 022 A000008 DEUTSCHE BANK SECURITIES INC.
 022 B000008 13-2730828
 022 C000008 617
 022 D000008 598
 022 A000009 NYC BOARD OF EDUCATION RETIREMENT SYSTEM
 022 C000009 857
 022 D000009 232
 022 A000010 NYC FIRE DEPARTMENT PENSION FUND
 022 C000010 835

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

| | | |
|-----|---------|---------|
| 022 | D000010 | 148 |
| 023 | C000000 | 7680684 |
| 023 | D000000 | 33578 |
| 024 | 000000 | N |
| 026 | A000000 | N |
| 026 | B000000 | N |
| 026 | C000000 | N |
| 026 | D000000 | Y |
| 026 | E000000 | N |
| 026 | F000000 | N |
| 026 | G010000 | N |
| 026 | G020000 | N |
| 026 | H000000 | N |
| 027 | 000000 | N |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.900 |
| 048 | A010000 | 0 |
| 048 | A020000 | 0.000 |
| 048 | B010000 | 0 |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| | PAGE | 5 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0 |
| 048 | K020000 | 0.000 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | N |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | N |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
| 055 | A000000 | N |
| 055 | B000000 | N |

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
PAGE 6
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 Y
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 Y
070 C010000 N
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 Y
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 N
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 N

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

070 M020000 N
 070 N010000 Y
 070 N020000 N
 070 O010000 Y
 070 O020000 N
 PAGE 7
 070 P010000 Y
 070 P020000 Y
 070 Q010000 N
 070 Q020000 N
 070 R010000 Y
 070 R020000 N
 071 A000000 35370
 071 B000000 47631
 071 C000000 172250
 071 D000000 21
 072 A000000 12
 072 B000000 379
 072 C000000 2328
 072 D000000 0
 072 E000000 0
 072 F000000 1776
 072 G000000 87
 072 H000000 0
 072 I000000 35
 072 J000000 44
 072 K000000 0
 072 L000000 30
 072 M000000 64
 072 N000000 0
 072 O000000 0
 072 P000000 0
 072 Q000000 0
 072 R000000 44
 072 S000000 40
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 99
 072 X000000 2219
 072 Y000000 0
 072 Z000000 488
 072AA000000 42506
 072BB000000 44808
 072CC010000 0
 072CC020000 74213
 072DD010000 27082
 072DD020000 0
 072EE000000 0
 073 A010000 0.0700
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 1.9300
 074 A000000 0
 074 B000000 0
 074 C000000 7711
 074 D000000 0
 PAGE 8
 074 E000000 0
 074 F000000 124289
 074 G000000 0
 074 H000000 0

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

| | | |
|-----------|---------------------|------------------------------|
| 074 | I000000 | 181 |
| 074 | J000000 | 97 |
| 074 | K000000 | 0 |
| 074 | L000000 | 265 |
| 074 | M000000 | 0 |
| 074 | N000000 | 132543 |
| 074 | O000000 | 0 |
| 074 | P000000 | 15 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 2946 |
| 074 | R040000 | 1193 |
| 074 | S000000 | 0 |
| 074 | T000000 | 128389 |
| 074 | U010000 | 13438 |
| 074 | U020000 | 0 |
| 074 | V010000 | 9.55 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 10052 |
| 074 | Y000000 | 5401 |
| 075 | A000000 | 0 |
| 075 | B000000 | 197323 |
| 076 | 000000 | 8.67 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 078 | 000000 | N |
| 080 | A000000 | ICI MUTUAL INSURANCE COMPANY |
| 080 | C000000 | 600 |
| 081 | A000000 | Y |
| 081 | B000000 | 9 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | A000000 | N |
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 207 |
| 086 | B020000 | 3055 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| | PAGE | 9 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 83165P101 |
| 087 | A030000 | RCC |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | DONALD C. BURKE | |
| TITLE | ASSISTANT TREASURER | |

