### DART KENNETH BRYAN

Form 4 July 21, 2017

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

3235-0287 Number:

January 31, Expires: 2005

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Instr. 3)

Form 5

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

Eastern Capital LTD

2. Issuer Name and Ticker or Trading

Symbol

Cyclacel Pharmaceuticals, Inc.

[CYCC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

10 MARKET STREET.

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 07/19/2017

Director X 10% Owner Other (specify Officer (give title

#773, CAMANA BAY

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

below)

GRAND CAYMAN, E9 KY1-9006

(State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(A) or (D)

Reported Transaction(s) (Instr. 3 and 4) Price

Common 07/19/2017 Stock (1)

Code V Amount P 850,000

Α \$ 2 2,167,261

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4.	5. orNumber	6. Date Exer Expiration D		7. Title and A Underlying S		8. Price of Derivativ
Security	or Exercise	(Wolldin Day Teal)	any	Code	of	(Month/Day		(Instr. 3 and		Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative					(Instr. 5)
	Derivative				Securities					
	Security				Acquired (A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount or	
						Date Exercisable	Expiration Date	Title	Number of	
				Code V	(A) (D)	Exercisable	Date		Shares	
Warrant (1)	\$ 2	07/19/2017(1)		P	1	<u>(1)</u>	<u>(1)</u>	Common Stock	850,000	<u>(1)</u>

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships					
• 0	Director	10% Owner	Officer	Other		
Eastern Capital LTD 10 MARKET STREET, #773 CAMANA BAY GRAND CAYMAN, E9 KY1-9006		X				
Portfolio Services Ltd. 10 MARKET STREET, # 773 CAMANA BAY GRAND CAYMAN, E9 KY1-9006		X				
DART KENNETH BRYAN P. O. BOX 31300 GRAND CAYMAN, E9 KY1-1206		X				
<u> </u>						

# **Signatures**

/s/Eastern Capital Limited	07/21/2017		
**Signature of Reporting Person	Date		
/s/Portfolio Services Ltd.	07/21/2017		
**Signature of Reporting Person	Date		
/s/Kenneth B. Dart	07/21/2017		
**Signature of Reporting Person	Date		

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On July 19, 2017 Eastern Capital Limited acquired 850,000 Class A Units. Each Class A Unit consists of one share of the Issuer's common stock and a warrant to purchase one share of the Issuer's common stock which is exercisable as of July 21, 2017 with an exercise price of \$2.00 per share and which expires on July 22, 2024.

#### **Remarks:**

a currently valid OMB number.

Eastern Capital Limited is an investment entity that owns the securities reported on this Form 4. Portfolio Services Ltd. is a ho Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays