

Edgar Filing: Simplicity Bancorp, Inc. - Form 8-K

Simplicity Bancorp, Inc.
Form 8-K
October 31, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

Form 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 29, 2014

Simplicity Bancorp, Inc.
(Exact name of registrant as specified in its charter)

Maryland 001-34979 26-1500698
(State or Other Jurisdiction of Incorporation) (Commission File No.) (I.R.S. Employer Identification No.)

1359 N. Grand Avenue, Covina, CA 91724
(Address of principal executive offices)

(626) 339-9663
Registrant's telephone number, including area code

Not Applicable
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

ITEM 5.07 Submission of Matters to a Vote of Security Holders.

On October 29, 2014, the Company held its Annual Meeting of Stockholders. At the Annual Meeting, stockholders considered the election of directors, the ratification of the independent registered public accounting firm and an advisory, non-binding resolution to approve executive compensation as described in the Proxy Statement. A breakdown of the votes cast is set forth below.

1. The election of directors

	For	Withheld	Broker non-votes
John H. Cochrane	5,870,879	31,364	820,825
Donald R. Voss	5,871,016	31,227	820,825
Dustin Luton	5,853,488	48,755	820,825

2. The ratification of the appointment of Crowe Horwath LLP as the Company's independent registered public accounting firm for the year ending June 30, 2015.

For	Against	Abstain	Broker non-votes
6,714,217	4,888	3,963	N/A

3. An advisory, non-binding resolution to approve executive compensation as described in the Proxy Statement.

For	Against	Abstain	Broker non-votes
5,763,776	78,139	60,328	820,825

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

SIMPLICITY BANCORP, INC.

Date: October 30, 2014

By: /s/ Dustin Luton
Dustin Luton
President and Chief Executive Officer
(Duly Authorized Representative)