Advanced Emissions Solutions, Inc.

Form 4

August 02, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549 Number:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

MCKINNIES MARK H

(Last) (First) (Middle)

9135 S. RIDGELINE BLVD., SUITE 200

(City)

Common

Stock

(Street)

(State)

08/01/2013

HIGHLANDS RANCH, CO 80129

2. Issuer Name and Ticker or Trading

Symbol

Advanced Emissions Solutions, Inc. [ADES]

3. Date of Earliest Transaction

(Month/Day/Year) 08/01/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

A

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

(Check all applicable)

SVP and CFO

6. Individual or Joint/Group Filing(Check

(D) or

D

Indirect (I)

(Instr. 4)

10% Owner

6. Ownership 7. Nature of

Beneficial Ownership

(Instr. 4)

Form: Direct Indirect

Other (specify

OMB APPROVAL

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

below)

Director

X_ Officer (give title

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

Code (Instr. 8)

Code V Amount (D)

4. Securities Acquired Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5)

(A)

Beneficially Owned Following Reported

Securities

5. Amount of

Transaction(s) (Instr. 3 and 4)

\$ 123 (1) A 42.95 86,560 (3) (4) (2)

Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate Amou		nt of	Derivative	Deriv
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ities	(Instr. 5)	Bene
		Derivative			Securities				(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						,
						4, and 5)						
										Amount		
							Date Exercisable	Expiration Date	Title N	or		
										Number		
										of		
					Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MCKINNIES MARK H 9135 S. RIDGELINE BLVD. SUITE 200 HIGHLANDS RANCH, CO 80129

SVP and CFO

Signatures

Mark H.

McKinnies 08/02/2013

**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued directly to the reporting person's qualified pension plan.
- (2) Price equals the fair market value of the shares on the date of authorization.
- (3) Of the amount shown, 35,786 shares are held in the qualified pension plan account of the reporting person and 12,000 shares were issued pursuant to a program under the Company's Equity Incentive Plan, are not fully vested and are subject to certain repurchase rights.

On July 1, 2013, Advanced Emissions Solutions, Inc., a Delaware corporation ("Issuer"), became the successor of ADA-ES, Inc., a Colorado corporation ("ADA"), pursuant to a reorganization whereby a wholly owned subsidiary of Issuer was merged with and into ADA, with ADA continuing as the surviving entity and becoming a wholly owned subsidiary of Issuer. In the merger, each outstanding

share of ADA common stock, no par value, immediately prior to the merger was automatically converted into one share of common stock, par value \$0.001 per share, of Issuer ("Issuer Common Stock"). All stock-based benefit plans of ADA were assumed by Issuer and, going forward, Issuer Common Stock will be issued upon the vesting of any stock-based awards or the exercise of options to reflect the substitution of the Issuer's Common Stock for ADA's common stock. The reorganization did not alter the proportionate interests of security holders.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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