Edgar Filing: Jackson William C - Form 4

Form 4	am C										
October 11, 2011 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruc 1(b).	Filed Filed Section	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							burden hou response	Expires:January 31, 2005Estimated average burden hours per response0.5	
(Print or Type Re 1. Name and Add	•	ing Person *	2 Issuer	Name and 7	Ficker or T	Tradin	a	5. Relationship o	f Reporting Per	son(s) to	
Jackson William C			2. Issuer Name and Ticker or Trading Symbol JOHNSON CONTROLS INC [JCI]				-	Issuer (Check all applicable)			
(Last) (First) (Middle) 5757 N. GREEN BAY AVENUE, P.O. BOX 591			3. Date of Earliest Transaction (Month/Day/Year) 10/07/2011					Director X Officer (giv below)	109	6 Owner er (specify	
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 						
MILWAUKE									More than One R		
(City)	(State)	(Zip)					ties Ac	quired, Disposed o		-	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Executi any	emed on Date, if /Day/Year)	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) of of (D) 4 and (A) or)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock								0 (1)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right to Buy)	\$ 28.54	10/07/2011		А	86,000	10/07/2013 <u>(2)</u>	10/07/2021	Common Stock
Phantom Stock Units/Restricted Stock Grant	\$ 0 <u>(3)</u>	10/07/2011		А	15,000	(4)	(4)	Common Stock

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Jackson William C 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201			EVP, Operations a Innovation	and			
Signatures							
Angela M. Blair, Attorney-in-Fact for Jackson	William C.	10/11/2011					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) No securities are beneficially owned by the reporting person.
- (2) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.
- (3) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock.

The balance includes 15,000 deferred restricted stock units granted on October 7, 2011, of which 7,500 vest on October 7, 2013, and
(4) 7,500 vest on October 7, 2015. The units accrue under the Johnson Controls Restricted Stock Plan and will be settled 100% in cash upon termination, pending vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.