

INTERNATIONAL BUSINESS MACHINES CORP

Form 3

January 27, 2017

**FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Del Bene Robert F

(Last) (First) (Middle)

ONE NEW ORCHARD

(Street)

ARMONK,Â NYÂ 10504

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
01/21/20173. Issuer Name **and** Ticker or Trading SymbolINTERNATIONAL BUSINESS MACHINES CORP  
[IBM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
VP, Controller

6. Individual or Joint/Group

Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

6,676.156

D

Â

Common Stock

4.144

I (1)

Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Phantom Stock	Â (2)	Â (2)	Common Stock	5,118	\$ 0 (2)	D	Â
Rst. Stock Unit	Â (3)	Â (3)	Common Stock	506	\$ 0	D	Â
Rst. Stock Unit	Â (4)	Â (4)	Common Stock	2,646	\$ 0	D	Â
Rst. Stock Unit	Â (5)	Â (5)	Common Stock	831	\$ 0	D	Â
Rst. Stock Unit	Â (6)	Â (6)	Common Stock	2,759	\$ 0	D	Â
Rst. Stock Unit	Â (7)	Â (7)	Common Stock	2,414	\$ 0	D	Â
Rst. Stock Unit	Â (8)	Â (8)	Common Stock	295	\$ 0	I (1)	Spouse

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Del Bene Robert F ONE NEW ORCHARD ARMONK, NY 10504	Â	Â	Â VP, Controller	Â

## Signatures

A. Gomes da Silva on behalf of R. F. Del Bene 01/27/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reporting person disclaims beneficial ownership of the securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

(2) Phantom stock units acquired under the IBM Excess 401(k) Plus Plan convert to the cash value of the company's common stock on a one-for-one basis, and distribution is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative investment account under such plan.

(3) These units are payable in cash or the company's common stock upon lapse of the restrictions on June 7, 2017.

(4) These units are payable in cash or the company's common stock upon lapse of the restrictions on December 17, 2018.

(5) Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 277 of these units on June 8, 2017, for 277 of these units on June 8, 2018, and for 277 of these units on June 8, 2019.

(6)

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Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 689 of these units on June 1, 2017, for 690 of these units on June 1, 2018, for 690 of these units on June 1, 2019, and for 690 of these units on June 1, 2020.

(7) Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 603 of these units on June 8, 2017, for 603 of these units on June 8, 2018, for 603 of these units on June 8, 2019, and for 605 of these units on June 8, 2020.

(8) Upon lapse of the restrictions, these units are payable in cash or the company's common stock. The restrictions lapse for 147 of these units on July 1, 2018, and for 148 of these units on July 1, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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