Stein Michael Jason Form 3/A December 27, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Stein Michael Jason

C/O LIVE VENTURES,

INC., 325 EAST WARM SPRINGS ROAD, STE. 102

(Last)

(First)

(Street)

(Middle)

Statement

(Month/Day/Year)

10/02/2017

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

LIVE VENTURES Inc [LIVE]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

10/02/2017

(Check all applicable)

Director

10% Owner

\_X\_\_ Officer Other (give title below) (specify below) Sr. VP, General Counsel

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

LAS VEGAS. NVÂ 89119

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

(Instr. 5) Form: Direct (D) or Indirect

(I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

or Exercise Price of

5. Conversion

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Exercisable

Expiration Date

Title

Amount or Number of Derivative Security

Security: Direct (D)

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option	(1)	09/05/2027	Common Stock	4,000	\$ <u>(1)</u>	D	Â
Stock Option	(2)	09/05/2027	Common Stock	4,000	\$ <u>(2)</u>	D	Â
Stock Option	(3)	09/05/2027	Common Stock	4,000	\$ (3)	D	Â
Stock Option	(4)	09/05/2027	Common Stock	4,000	\$ <u>(4)</u>	D	Â
Stock Option	(5)	09/05/2027	Common Stock	4,000	\$ <u>(5)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Stein Michael Jason C/O LIVE VENTURES, INC. 325 EAST WARM SPRINGS ROAD, STE. 102 LAS VEGAS. NV 89119	Â	Â	Sr. VP, General Counsel	Â			

## **Signatures**

/s/ Michael Stein 12/27/2018

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents an option to purchase 4,000 shares of the Company's common stock at an exercise price of \$23.41 per share, all of which are fully vested.
- (2) Represents an option to purchase 4,000 shares of the Company's common stock at an exercise price of \$27.60 per share, all of which vest on September 5, 2019.
- (3) Represents an option to purchase 4,000 shares of the Company's common stock at an exercise price of \$31.74 per share, all of which vest on September 5, 2020.
- (4) Represents an option to purchase 4,000 shares of the Company's common stock at an exercise price of \$36.50 per share, all of which vest on September 5, 2021.
- (5) Represents an option to purchase 4,000 shares of the Company's common stock at an exercise price of \$41.9762 per share, all of which vest on September 5, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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