Edgar Filing: Jones Mary K.W. - Form 4

| Jones Mary K.W. Since A Since | | | | | | | | | | | |
|---|--------------|---|----|----------------------------|---|--------------------------|--|---|---|---|--|
| (Print or Type | e Responses) | | | | | | | | | | |
| Jones Mary K.W. Symbol | | | | nd Ticker o | or Trac | 8 | . Relationship of Reporting Person(s) to ssuer | | | | |
| | | | | | Transaction | 1 | | (Check all applicable) | | | |
| | | | | /Day/Year) 2017 | | | | Director 10% Owner _X Officer (give title Other (specify below) Sr. V.P. & General Counsel | | | |
| | | | | nendment, l onth/Day/Ye | Date Origir ear) | nal | | Applicable Line) | dividual or Joint/Group Filing(Check cable Line) Form filed by One Reporting Person | | |
| MOLINE, IL 61265 | | | | | Form filed by More than One Reporting Person | | | | | | |
| (City) | (State) | (Zip) | Ta | ble I - Non | -Derivativ | e Secu | irities Acqu | ired, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | | Fransaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year) | | | 4. Securit orDisposed (Instr. 3, 4 | of (D) 4 and 3 (A) |) | or 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | . , | | |
| \$1 Par Common Stock <u>(1)</u> | 11/28/2017 | | | М | 7,175 | А | \$ 52.25 | 42,786.7781 | D | | |
| \$1 Par Common Stock (1) | 11/28/2017 | | | S | 7,175 | D | \$ 148.0098 (2) | 3 35,701.7781 | D | | |
| \$1 Par Common Stock (1) | 11/28/2017 | | | М | 5,411 | А | \$ 80.61 | 41,112.7781 | D | | |
| \$1 Par Common | 11/28/2017 | | | S | 5,411 | D | \$ 148.0098 | 35,701.7781 3 | D | | |

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| Stock (1) | | | | | (2) | | |
|---------------------------------------|------------|---|--------|---|-----------------------|--------------------|---|
| \$1 Par Common Stock <u>(1)</u> | 11/28/2017 | S | 10,775 | А | \$ 74.24 | 46,476.7781 | D |
| \$1 Par Common Stock (1) | 11/28/2017 | S | 10,775 | D | \$ 148.0098 (2) | 35,701.7781 (3) | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | TransactiorDerivative Code Securities | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--------|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Market Priced Options | \$ 52.25 | 11/28/2017 | | М | | 7,175 | (5) | 12/09/2019 | Common Stock | 7,175 |
| Market Priced Options | \$ 80.61 | 11/28/2017 | | М | | 5,411 | (6) | 12/08/2020 | Common Stock | 5,411 |
| Market Priced Options (1) (4) | \$ 74.24 | 11/28/2017 | | М | | 10,775 | (7) | 12/14/2021 | Common Stock | 10,775 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|----------------------------|-------|--|--|--|
| 1 | Director | 10% Owner | Officer | Other | | | |
| Jones Mary K.W. | | | Sr. V.P. & General Counsel | | | | |

8 E S () DEERE & COMPANY ONE JOHN DEERE PLACE MOLINE, IL 61265

Signatures

/s/ Paul Wilczynski, Assistant Secretary, Deere & Company, Under Power of Attorney

**Signature of Reporting Person

11/30/2017 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Rule 16b-3 options and related sale of shares pursuant to Rule 10(b)5-1 stock option exercise plan adopted on August 25, 2017.

The sale price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from

- (2) \$147.26 to \$148.47, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footage.
- (3) Includes 22,829 restricted stock units granted under the John Deere Omnibus Equity and Incentive Plan to be settled solely in shares.
- (4) All options include the ability to withhold shares upon the exercise of the option to satisfy income tax obligations.
- (5) The options became exercisable in three approximately equal installments on December 9, 2010, 2011, and 2012.
- (6) The options became exercisable in three approximately equal installments on December 8, 2011, 2012, and 2013.
- (7) The options became exercisable in three approximately equal installments on December 14, 2012, 2013, and 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.