Reed Cory J Form 4 December 13, 2017

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Reed Cory J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

DEERE & CO [DE]

(Month/Day/Year)

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director

10% Owner

DEERE & COMPANY, ONE JOHN

(Street)

12/11/2017

\_X\_\_ Officer (give title Other (specify

**DEERE PLACE** 

below) Pres., John Deere Financial

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MOLINE, IL 61265

| (City)                               | (State)                                 | (Zip) Tab   | le I - Non-                            | Derivative   | e Secu    | rities Acqui   | red, Disposed of,  | or Beneficiall  | y Owned |
|--------------------------------------|---|---|--|--|-----------|--|--|---|---------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5) |           | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |         |
|                                      |   |   | Code V                                 | Amount   | or<br>(D) | Price  | (Instr. 3 and 4)   |   |         |
| \$1 Par<br>Common<br>Stock (1)       | 12/11/2017                              |   | M                                      | 6,001  | A         | \$ 79.24   | 11,383   | D   |         |
| \$1 Par<br>Common<br>Stock (1)       | 12/11/2017                              |   | S                                      | 4,519  | D         | \$<br>150.921  | 6,864  | D   |         |
| \$1 Par<br>Common<br>Stock (1)       | 12/13/2017                              |   | M                                      | 2,432  | A         | \$ 88.185  | 9,296  | D   |         |
| \$1 Par<br>Common                    | 12/13/2017                              |   | S                                      | 1,897  | D         | \$ 153   | 7,399 (2)  | D   |         |

Stock (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. I De

> (In

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8<br>I<br>S<br>( |
|---|---|--------------------------------------|---|--|--|--|--------------------|---|--|------------------|
|   |   |                                      |   | Code V                                 | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                  |
| Market<br>Priced<br>Options                         | \$ 79.24  | 12/11/2017                           |   | M                                      | 6,001  | <u>(4)</u>   | 12/09/2025         | Common<br>Stock   | 6,001                                  |                  |
| Market<br>Priced<br>Options                         | \$ 88.185   | 12/13/2017                           |   | M                                      | 2,437  | <u>(5)</u>   | 12/10/2024         | Common<br>Stock   | 2,432                                  |                  |

## **Reporting Owners**

| Reporting Owner Name / Address | Keiationsnips |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |

Reed Cory J
DEERE & COMPANY
ONE JOHN DEERE PLACE

Pres., John Deere Financial

MOLINE, IL 61265 **Signatures** 

/s/ Paul Wilczynski, Assistant Secretary, Deere & Company, Under Power of Attorney

12/13/2017

\*\*Signature of Reporting Person

Date

Reporting Owners 2

Edgar Filing: Reed Cory J - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Rule 16b-3 options and related sale of shares for the exercise price and taxes pursuant to a Rule 10(b)5-1 stock option exercise plan adopted on September 5, 2017.
- (2) Includes 2,436 restricted stock units granted under the John Deere Omnibus Equity and Incentive Plan to be settled solely in shares.
- (3) All options include the ability to withhold shares upon the exercise of the option to satisfy income tax obligations.
- (4) The options became exercisable in two approximately equal tranches on December 9, 2016 and 2017.
- (5) The options became exercisable on December 10, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.