#### KANSAS CITY SOUTHERN

Form 4

Common

Common

Stock

Stock

02/10/2017

FORM **4** 

Check the if no long subject to Section 1 Form 4 or Form 5 obligation may cont See Instruction 1(b).	Washington, D.C. 20549  is box  ger  STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  20(b) of the Insertment Company Act of 1940					OMB Number: Expires: Estimated a burden hour response	~			
(Print or Type I	Responses)									
1. Name and Address of Reporting Person * Songer Jeffrey M			2. Issuer Name <b>and</b> Ticker or Trading Symbol KANSAS CITY SOUTHERN [KSU]			5. Relationship of Reporting Person(s) to Issuer				
						(Check all applicable)				
(Last)	(First) (N	Middle)	3. Date of	Earliest T	ransaction		`	11	•	
KANSAS CITY SOUTHERN, PO BOX 21935			(Month/Day/Year) 02/10/2017				Director 10% OwnerX Officer (give title Other (specify below)  EVP & COO			
	(Street)		4. If Ame	ndment, D	ate Original		6. Individual or Jo	int/Group Filin	g(Check	
KANSAS C	CITY, MO 64121		Filed(Mor	ith/Day/Yea	ur)		Applicable Line) _X_ Form filed by O Form filed by M Person			
(City)	(State)	(Zip)	Tabl	e I - Non-l	Derivative Se	ecurities Acq	uired, Disposed of,	or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deen Execution any (Month/E	n Date, if	3. Transacti Code (Instr. 8)		osed of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

Code V Amount

99

F

(A)

(D)

D

Price

85.96

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Transaction(s) (Instr. 3 and 4)

23,852.3556

1.063 (2)

(1)

D

Ι

By 401(k)

& P/S

Plan

**OMB APPROVAL** 

#### Edgar Filing: KANSAS CITY SOUTHERN - Form 4

# $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

8. Pri Deriv Secur (Instr

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. DriNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day.e	Date Exercisable and piration Date onth/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 97.77					(3)	02/20/2023	Common Stock	393	
Employee Stock Option (Right to Buy)	\$ 94.23					(3)	02/19/2024	Common Stock	411	
Employee Stock Option (Right to Buy)	\$ 119.35					(3)	02/17/2025	Common Stock	1,649	
Employee Stock Option (Right to Buy)	\$ 82.71					(3)	02/18/2026	Common Stock	3,851	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Songer Jeffrey M							
KANSAS CITY SOUTHERN	EVD % COO						
PO BOX 21935		EVP & COO					
KANSAS CITY, MO 64121							

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## **Signatures**

Adam J. Godderz, Attorney-in-fact

02/13/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 298 shares acquired on June 30, 2016 and 33 shares acquired on December 30, 2016 through the KCS Employee Stock Purchase Plan.
- (2) Includes 0.012 shares acquired under the KCS 401(k) and Profit Sharing Plan since the date of the reporting person's last ownership report.
- (3) These options become exercisable in three equal installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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