

Edgar Filing: THIRY KENT J - Form 4

THIRY KENT J
Form 4
April 19, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 4

OMB APPROVAL

☐ Check this box if no longer
subject to Section 16. Form 4
or Form 5 obligations may
continue. SEE Instruction 1(b).

OMB NUMBER: 3235-0287
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person

Thiry	Kent	J
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(Last)	(First)	(Middle)
21250 Hawthorne Blvd., Suite 800		

(Street)		
Torrance	CA	90503
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(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

DaVita, Inc. (DVA)

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

3/2002

5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer (Check all applicable)

☒ Director ☐ 10% Owner

☒ Officer (give title below) ☐ Other (Specify below)

 Chairman & CEO

7. Individual or Joint Group Filing (Check Applicable Line)

☒ Form filed by one reporting person

☐ Form filed by more than one reporting person

Table 1 -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3,4 and 5)			5. Amount of Securities Beneficially Owned at End of (Instr. and 4)
		Code	V	Amount	(A) or (D)	Price	
-----	-----	-----	----	-----	-----	-----	-----
Common Stock	3/22/02	M	----	50,000	A	\$5.1354	-----
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Common Stock	3/22/02	S	----	50,000	D	\$25.2098	85,334
-----	-----	-----	----	-----	-----	-----	49,500
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

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(Over)
SEC 1473 (8-92)

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FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/ Year)	4. Transaction Code (Instr. 8)	5. Number of Securities or Disposed (Instr. 3, (A)
			Code V	
-----	-----	-----	-----	-----
Stock options (right to buy)	\$5.1354	3/22/02	M/1/	
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7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned at End of Month Instr. 4)	10. Ownership Form of Derivative S Direct (D) or Indirect (I) (I
Title Amount or Number of Shares			
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Common stock 50,000	\$0	283,000	D
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Explanation of Responses:

1. Nonqualified stock options granted under the first amended and restated 1997 equity compensation plan.
2. Option for a total of 500,000 shares. The indicated option will vest or vested according to the following schedule: 125,000 shares vested on October 18, 2000, 125,000 shares vested on January 23, 2001, 125,000 shares vested on October 18, 2001 and 125,000 shares will vest on October 18, 2002.

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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