Parlati Francesco Form 4/A March 06, 2018

## FORM 4

Form 5

obligations

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

1. Name and Address of Reporting Person \* Parlati Francesco

Symbol

(Middle)

5. Relationship of Reporting Person(s) to

Issuer

(First) (Last)

Calithera Biosciences, Inc. [CALA]

(Check all applicable)

C/O CALITHERA BIOSCIENCES. INC., 343 OYSTER POINT BLVD. #200

3. Date of Earliest Transaction

(Month/Day/Year)

Director X\_ Officer (give title

10% Owner Other (specify

02/20/2018

below) VP, RESEARCH

(Street)

(State)

02/20/2018

4. If Amendment, Date Original

Filed(Month/Day/Year) 02/22/2018

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

D

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**SOUTH SAN** FRANCISCO, CA 94080

(City)

Common

Stock

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	(D)
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(Zip)

urities Acquired 5. Amount of Disposed of 3, 4 and 5)

Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or Code V Amount (D) Price

1.979 M

 $25,339 \ (1) \ (2)$ 

Common 02/20/2018 Stock

28,455 (1) (2) M 3.116

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date Und			tle and Amount of erlying Securities r. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 0.96	02/20/2018		M	1,979	(3)	05/22/2023	Common Stock	1,979	
Employee Stock Option (Right to Buy)	\$ 0.96	02/20/2018		M	3,116	<u>(4)</u>	05/22/2023	Common Stock	3,116	

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Parlati Francesco C/O CALITHERA BIOSCIENCES, INC. 343 OYSTER POINT BLVD. #200 SOUTH SAN FRANCISCO, CA 94080

VP, RESEARCH

## **Signatures**

/s/ Francesco Parlati 03/06/2018

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 7,967 shares were omitted from the Reporting Person's original Form 4, and also were omitted from the Reporting Person's Form 3 filed by the Reporting Person prior to the date the original Form 4 was filed.
- (2) Includes 973 and 2,038 shares acquired under the Company's 2014 Employee Stock Purchase Plan on June 9, 2017 and December 8, 2017, respectively.

Reporting Owners 2

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- (3) 1/48th of the Option vests in equal monthly installments beginning November 15, 2012. The Option shall be subject to accelerated vesting as set fourth in the optionee's employment agreement with the Company.
- (4) 1/48th of the Option vests in equal monthly installments one month after May 23, 2013. The Option shall be subject to accelerated vesting as set fourth in the optionee's employment agreement with the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.