

Wheeler Kevin J.  
Form 3/A  
February 07, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Wheeler Kevin J.

(Last) (First) (Middle)

A. O. SMITH  
CORPORATION, 500  
TENNESSEE WALTZ  
PARKWAY

(Street)

ASHLAND CITY, TN 37015

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

01/09/2013

3. Issuer Name and Ticker or Trading Symbol  
SMITH A O CORP [AOS]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
President and COO

5. If Amendment, Date Original  
Filed(Month/Day/Year)  
01/09/2013

6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

Common Stock

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

8,623 <sup>(1)</sup>

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

D A

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security

4. Conversion  
or Exercise

5. Ownership  
Form of

6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

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| Date<br>Exercisable | Expiration<br>Date | Title<br>(Instr. 4) | Amount or<br>Number of<br>Shares | Price of<br>Derivative<br>Security | Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |
|---------------------|--------------------|---------------------|----------------------------------|------------------------------------|---------------------------------------------------------------------------|
|                     |                    |                     |                                  |                                    |                                                                           |

## Reporting Owners

| Reporting Owner Name / Address                                                                       | Relationships |           |                     |       |
|------------------------------------------------------------------------------------------------------|---------------|-----------|---------------------|-------|
|                                                                                                      | Director      | 10% Owner | Officer             | Other |
| Wheeler Kevin J.<br>A. O. SMITH CORPORATION<br>500 TENNESSEE WALTZ PARKWAY<br>ASHLAND CITY, TN 37015 | Â             | Â         | Â President and COO | Â     |

## Signatures

James F. Stern, Attorney-in-Fact for Kevin J. Wheeler  
02/07/2018

Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amendment being filed to account for additional brokerage account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.  
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