

DAKTRONICS INC /SD/

Form 3

September 12, 2014

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
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burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

GATZKE CARLA S

(Last) (First) (Middle)

201 DAKTRONICS DRIVE

(Street)

BROOKING, SD 57006

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

09/04/2014

3. Issuer Name and Ticker or Trading Symbol
DAKTRONICS INC /SD/ [DAKT]4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Secretary and VP of Human Reso

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

429,040

D

A

Common Stock

15,380

I

Child A

Common Stock

15,005

I

Child B

Common Stock

118,091.1983

I

by 401k

Common Stock

90,000

I

by Spouse

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Incentive Stock Options	Â <u>(1)</u>	12/03/2018	Common Stock	5,701	\$ 8.29	D	Â
Incentive Stock Options	Â <u>(2)</u>	12/02/2019	Common Stock	5,981	\$ 8.65	D	Â
Incentive Stock Options	Â <u>(3)</u>	11/30/2021	Common Stock	9,638	\$ 9.24	D	Â
Incentive Stock Options	Â <u>(4)</u>	08/22/2022	Common Stock	10,500	\$ 9.51	D	Â
Incentive Stock Options	Â <u>(3)</u>	08/22/2023	Common Stock	6,870	\$ 11.05	D	Â
Incentive Stock Options	Â <u>(5)</u>	11/22/2014	Common Stock	8,000	\$ 12.835	D	Â
Incentive Stock Options	Â <u>(6)</u>	09/04/2024	Common Stock	6,870	\$ 13.31	D	Â
Incentive Stock Options	Â <u>(7)</u>	11/16/2015	Common Stock	10,000	\$ 13.595	D	Â
Incentive Stock Options	Â <u>(8)</u>	12/01/2020	Common Stock	5,164	\$ 14.37	D	Â
Incentive Stock Options	Â <u>(9)</u>	11/14/2017	Common Stock	4,880	\$ 20.07	D	Â
Incentive Stock Options	Â <u>(10)</u>	11/15/2016	Common Stock	5,890	\$ 34.065	D	Â
Non-Qualified Stock Option	Â <u>(1)</u>	12/03/2018	Common Stock	3,799	\$ 8.29	D	Â
Non-Qualified Stock Option	Â <u>(2)</u>	12/02/2019	Common Stock	3,519	\$ 8.65	D	Â
Non-Qualified Stock Option	Â <u>(3)</u>	11/30/2021	Common Stock	362	\$ 9.24	D	Â
Non-Qualified Stock Option	Â <u>(8)</u>	12/01/2020	Common Stock	1,291	\$ 14.37	D	Â
Non-Qualified Stock Option	Â <u>(9)</u>	11/14/2017	Common Stock	3,620	\$ 20.07	D	Â
Non-Qualified Stock Option	Â <u>(10)</u>	11/15/2016	Common Stock	1,110	\$ 34.065	D	Â

Restricted Stock Units	Â (11)	Â (11)	Common Stock	12,920	\$ (12)	D	Â
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GATZKE CARLA S 201 DAKTRONICS DRIVE BROOKING,Â SDÂ 57006	Â	Â	Â Secretary and VP of Human Reso	Â

Signatures

Carla S. Gatzke 09/12/2014

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Incentive Stock Options: 20% vested each year for a total of five years - vesting schedule: 12/04/2009; 12/04/2010; 12/04/2011; 12/04/2012; 12/04/2013
- (2) Incentive Stock Options: 20% vested each year for a total of five years - vesting schedule: 12/03/2010; 12/03/2011; 12/03/2012; 12/03/2013; 12/03/2014
- (3) Incentive Stock Options: 20% vested each year for a total of five years - vesting schedule: 12/01/2012; 12/01/2013; 12/01/2014; 12/01/2015; 12/01/2016
- (4) Incentive Stock Options: 20% vested each year for a total of five years - vesting schedule: 8/23/2013; 8/23/2014; 8/23/2015; 8/23/2016; 8/23/2017
- (5) Incentive Stock Options: 20% vested each year for a total of five years - vesting schedule: 11/23/2005; 11/23/2006; 11/23/2007; 11/23/2008; 11/23/2009
- (6) Incentive Stock Options: 20% vested each year for a total of 5 years - vesting schedule: 8/23/2015; 8/23/2016; 8/23/2017; 8/23/2018; 8/23/2019
- (7) Incentive Stock Options: 20% vested each year for a total of five years - vesting schedule: 11/17/2006; 11/17/2007; 11/17/2008; 11/17/2009; 11/17/2010
- (8) Incentive Stock Options: 20% vested each year for a total of five years - vesting schedule: 12/02/2011; 12/02/2012; 12/02/2013; 12/02/2014; 12/02/2015
- (9) Incentive Stock Options: 20% vested each year for a total of five years - vesting schedule: 11/15/2008; 11/15/2009; 11/15/2010; 11/15/2011; 11/15/2012
- (10) Incentive Stock Options: 20% vested each year for a total of five years - vesting schedule: 11/16/2007; 11/16/2008; 11/16/2009; 11/16/2010; 11/16/2011
- (11) Restricted Stock Units granted on 9/4/14 vest 20% each year for five years beginning on 8/23/2015. Vested shares will be delivered to the reporting person as soon as practicable after the date of vesting.
- (12) Each Restricted Stock Unit represents the contingent right to receive one share of Daktronics, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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