## Edgar Filing: GLOBAL BRASS & COPPER HOLDINGS, INC. - Form 4

GLOBAL BRASS & COPPER HOLDINGS, INC.

Form 4 October 02, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* PSAROS MICHAEL G

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

GLOBAL BRASS & COPPER HOLDINGS, INC. [BRSS]

(Check all applicable)

(Last)

(City)

(First) (Middle) 3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner \_\_X\_ Other (specify Officer (give title

C/O GLOBAL BRASS & COPPER

(Street)

(State)

10/01/2013

(Month/Day/Year)

below) below) Chairman of Board of Directors

HOLDINGS, INC., 475 N. MARTINGALE RD., SUITE 1050

> 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SCHAUMBURG, IL 60173

1.Title of 2. Transaction Date 2A. Deemed

(Zip)

3. 4. Securities Acquired (A) or TransactionDisposed of (D)

Amount

(A)

or

(D)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of

Security (Month/Day/Year) (Instr. 3)

Execution Date, if (Month/Day/Year)

Code (Instr. 3, 4 and 5) (Instr. 8)

Indirect Securities Ownership Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4)

I

Code V

Reported (I) Transaction(s) (Instr. 4)

(Instr. 3 and 4)

Common

share

Stock, par value 10/01/2013 \$0.01 per

S 5,750,000 D

7,310,000 15.7163

Price

See Footnotes (1)(2)

7. Nature of

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Tit	le of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Deriv	ative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Secur	ity	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr	. 3)	Price of		(Month/Day/Year) (Instr. 8) Derivative				Securi	ties	(Instr. 5)	Bene	
		Derivative				Securities			(Instr.	3 and 4)		Own
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						
						4, and 5)						
										Amount		
										Amount		
							Date	Expiration		Or		
							Exercisable	isable Date		Number of		
					C-J- V	(A) (D)						
					Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
PSAROS MICHAEL G				
C/O GLOBAL BRASS & COPPER HOLDINGS,				Chairman of Doord of
INC.	X			Chairman of Board of
AGENT MADERICALE DE CHIER 1050				Directors

Relationships

475 N. MARTINGALE RD., SUITE 1050 SCHAUMBURG, IL 60173

# **Signatures**

/s/ Scott B. Hamilton, 10/02/2013 Attorney-in-Fact

Departing Owner Name / Address

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Halkos Holdings, LLC is a 10%+ shareholder of Global Brass and Cooper Holdings, Inc. KPS Special Situations Fund II, L.P., KPS Special Situations Fund II (A), L.P., KPS Special Situations Fund III, LP and KPS Special Situations Fund III (A), L.P. are collectively majority members of Halkos Holdings, LLC. KPS Investors III, Ltd. is the general partner of KPS Special Situations Fund III (A), L.P.

- and KPS Capital Partners, LLC is the controlling shareholder of KPS Investors III, Ltd. KPS Investors III, L.P. is the general partner of KPS Special Situations Fund III, L.P. and KPS Capital Partners, LLC is the general partner of KPS Investors III, L.P. KPS Investors II, L.P. is the general partner of KPS Special Situation Fund II, L.P. and KPS Special Situations Fund II (A), L.P. KPS Investors II GP, LLC is the general partner of KPS Investors II, L.P. The reporting person holds a non-controlling interest in KPS Investors II GP, LLC and KPS Capital Partners, LLC.
- (2) The reporting person disclaims beneficial ownership of any shares of Global Brass and Copper Holdings, Inc. that may be held by Halkos Holdings, LLC, KPS Capital Partners, LLC and its affiliates or KPS Investors II GP, LLC and its affiliates. Pursuant to Rule 16a-1(a)(4) under the Securities Exchange Act of 1934, as amended (the "Exchange Act"), this filing shall not be deemed an admission that the reporting person is, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial owner of any equity securities of the

Reporting Owners 2

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Issuer for purposes of Section 16 of the Exchange Act except to the extent of any pecuniary interest therein.

#### **Remarks:**

Scott B. Hamilton is General Counsel and Corporate Secretary of Global Brass and Copper Holdings, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.